



RESPONSE ACTION OUTCOME (RAO) STATEMENT &
DOWNGRADIANT PROPERTY STATUS TRANSMITTAL FORM

Pursuant to 310 CMR 40.0180 (Subpart B), 40.0580 (Subpart E) & 40.1056 (Subpart J)

Release Tracking Number

Release Tracking Number input box

A. SITE OR DOWNGRADIANT PROPERTY LOCATION:

Site Name: (optional)

Street: Location Aid:

City/Town: ZIP Code:

Check here if this Site location is Tier Classified. If a Tier I Permit has been issued, state the Permit Number:

Related Release Tracking Numbers that this Form Addresses:

If submitting an RAO Statement, you must document the location of the Site or the location and boundaries of the Disposal Site subject to this Statement. If submitting an RAO Statement for a PORTION of a Disposal Site, you must document the location and boundaries for both the portion subject to this submittal and, to the extent defined, the entire Disposal Site. If submitting a Downgradient Property Status Submittal, you must provide a site plan of the property subject to the submittal and, to the extent defined, the Disposal Site.

B. THIS FORM IS BEING USED TO: (check all that apply)

Submit a Response Action Outcome (RAO) Statement (complete Sections A, B, C, D, E, F, H, I, J and L).

Check here if this is a revised RAO Statement. Date of Prior Submittal:

Check here if any Response Actions remain to be taken to address conditions associated with any of the Releases whose Release Tracking Numbers are listed above. This RAO Statement will record only an RAO-Partial Statement for those Release Tracking Numbers.

Specify Affected Release Tracking Numbers:

Submit an optional Phase I Completion Statement supporting an RAO Statement or Downgradient Property Status Submittal (complete Sections A, B, H, I, J, and L).

Submit a Downgradient Property Status Submittal (complete Sections A, B, G, H, I, J and K).

Check here if this is a revised Downgradient Property Status Submittal. Date of Prior Submittal:

Submit a Termination of a Downgradient Property Status Submittal (complete Sections A, B, I, J and L).

Submit a Periodic Review Opinion evaluating the status of a Temporary Solution (complete Sections A, B, H, I, J and L).

Specify one: For a Class C RAO For a Waiver Completion Statement indicating a Temporary Solution

Provide Submittal Date of RAO Statement or Waiver Completion Statement:

You must attach all supporting documentation required for each use of form indicated, including copies of any Legal Notices and Notices to Public Officials required by 310 CMR 40.1400.

C. DESCRIPTION OF RESPONSE ACTIONS: (check all that apply)

Assessment and/or Monitoring Only Deployment of Absorbant or Containment Materials

Removal of Contaminated Soils Temporary Covers or Caps

Re-use, Recycling or Treatment Bioremediation

On Site Off Site Est. Vol.: cubic yards Soil Vapor Extraction

Describe: Structure Venting System

Landfill Cover Disposal Est. Vol.: cubic yards Product or NAPL Recovery

Removal of Drums, Tanks or Containers Groundwater Treatment Systems

Describe: Air Sparging

Removal of Other Contaminated Media Temporary Water Supplies

Specify Type and Volume: Temporary Evacuation or Relocation of Residents

Other Response Actions Fencing and Sign Posting

Describe:

SECTION C IS CONTINUED ON THE NEXT PAGE.



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C. DESCRIPTION OF RESPONSE ACTIONS: (continued)

Check here if any Response Action(s) that serve as the basis for this RAO Statement involve the use of Innovative Technologies. (DEP is interested in using this information to create an Innovative Technologies Clearinghouse.)

Describe Technologies: _____

D. TRANSPORT OF REMEDIATION WASTE: (if Remediation Waste was sent to an off-site facility, answer the following questions)

Name of Facility: _____

Town and State: _____

Quantity of Remediation Waste Transported to Date: _____

E. RESPONSE ACTION OUTCOME CLASS:

Specify the Class of Response Action Outcome that applies to the Site or Disposal Site. Select **ONLY** one Class:

Class A-1 RAO: Specify one of the following:

- Contamination has been reduced to background levels. A Threat of Release has been eliminated.

Class A-2 RAO: You **MUST** provide justification that reducing contamination to background levels is infeasible.

Class A-3 RAO: You **MUST** provide both an implemented Activity and Use Limitation (AUL) and justification that reducing contamination to background levels is infeasible.

If applicable, provide the earlier of the AUL expiration date or date the design life of the remedy will end: _____

Class B-1 RAO: Specify one of the following:

- Contamination is consistent with background levels Contamination is **NOT** consistent with background levels.

Class B-2 RAO: You **MUST** provide an implemented AUL.

If applicable, provide the AUL expiration date: _____

Class C RAO: Check here if you will conduct post-RAO Operation, Maintenance and Monitoring at the Site.

Specify One: Passive Operation and Maintenance Monitoring Only

Active Operation and Maintenance (defined at 310 CMR 40.0006)

F. RESPONSE ACTION OUTCOME INFORMATION:

If an RAO Compliance Fee is required, check here to certify that the fee has been submitted. You **MUST** attach a photocopy of the payment.

Check here if submitting one or more AULs. You must attach an AUL Transmittal Form (BWSC-113) and a copy of each implemented AUL related to this RAO Statement. Specify the type of AUL(s) below: (required for all Class A-3 RAOs and Class B-2 RAOs)

Notice of Activity and Use Limitation Grant of Environmental Restriction Number of AULs attached: _____

Specify the Risk Characterization Method(s) used to achieve the RAO described above and all Soil and Groundwater Categories applicable to the Site.

**More than one Soil Category and more than one Groundwater Category may apply at a Site.
Be sure to check off all APPLICABLE categories, even if more stringent soil and groundwater standards were met.**

Risk Characterization Method(s) Used: Method 1 Method 2 Method 3

Soil Category(ies) Applicable: S-1 S-2 S-3

Groundwater Category(ies) Applicable: GW-1 GW-2 GW-3

> When submitting any Class A-1 RAO or a Class B-1 RAO where contamination is consistent with background levels, do NOT specify a Risk Characterization Method.

> When submitting any Class A-2 RAO or a Class B-1 RAO where contamination is NOT consistent with background levels, you cannot use an AUL to maintain a level of no significant risk. Therefore, you must meet S-1 Soil Standards, if using Risk Characterization Method 1.



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Release Tracking Number input fields

G. DOWNGRADIANT PROPERTY STATUS SUBMITTAL:

- Check boxes for compliance fee submission and release occurrence. Includes a line for Release Tracking Number(s).

Check here if the Releases identified above require further Response Actions pursuant to 310 CMR 40.0000.

Required documentation for a Downgradient Property Status Submittal includes, but is not limited to, copies of notices provided to owners and operators of both upgradient and downgradient abutting properties and of any known or suspected source properties.

H. LSP OPINION:

I attest under the pains and penalties of perjury that I have personally examined and am familiar with this transmittal form, including any and all documents accompanying this submittal.

> if Section B indicates that a Downgradient Property Status Submittal is being provided, the response action(s) that is (are) the subject of this submittal (i) has (have) been developed and implemented in accordance with the applicable provisions of M.G.L. c. 21E and 310 CMR 40.0000, (ii) is (are) appropriate and reasonable to accomplish the purposes of such response action(s) as set forth in 310 CMR 40.0183(2)(b), and (iii) complies(y) with the identified provisions of all orders, permits, and approvals identified in this submittal;

> if Section B indicates that either an RAO Statement, Phase I Completion Statement and/or Periodic Review Opinion is being provided, the response action(s) that is (are) the subject of this submittal (i) has (have) been developed and implemented in accordance with the applicable provisions of M.G.L. c. 21E and 310 CMR 40.0000, (ii) is (are) appropriate and reasonable to accomplish the purposes of such response action(s) as set forth in the applicable provisions of M.G.L. c. 21E and 310 CMR 40.0000, and (iii) complies(y) with the identified provisions of all orders, permits, and approvals identified in this submittal.

I am aware that significant penalties may result, including, but not limited to, possible fines and imprisonment, if I submit information which I know to be false, inaccurate or materially incomplete.

Check here if the Response Action(s) on which this opinion is based, if any, are (were) subject to any order(s), permit(s) and/or approval(s) issued by DEP or EPA.

LSP Name, LSP #, Stamp, Telephone, Ext., FAX, Signature, Date input fields

I. PERSON MAKING SUBMITTAL:

Name of Organization, Name of Contact, Title, Street, City/Town, State, ZIP Code, Telephone, Ext., FAX input fields

J. RELATIONSHIP TO SITE OF PERSON MAKING SUBMITTAL: (check one)

- RP or PRP Specify: Owner, Operator, Generator, Transporter, Other RP or PRP
Fiduciary, Secured Lender or Municipality with Exempt Status
Agency or Public Utility on a Right of Way
Any Other Person Submitting This Form Specify Relationship



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K. CERTIFICATION OF PERSON SUBMITTING DOWNGRADIANT PROPERTY STATUS SUBMITTAL:

I, _____, attest under the pains and penalties of perjury (i) that I have personally examined and am familiar with the information contained in this submittal, including any and all documents accompanying this transmittal form; (ii) that, based on my inquiry of the/those individual(s) immediately responsible for obtaining the information, the material information contained herein is, to the best of my knowledge, information and belief, true, accurate and complete; (iii) that, to the best of my knowledge, information and belief, I/the person(s) or entity(ies) on whose behalf this submittal is made satisfy(ies) the criteria in 310 CMR 40.0183(2); (iv) that I/the person(s) or entity(ies) on whose behalf this submittal is made have provided notice in accordance with 310 CMR 40.0183(5); and (v) that I am fully authorized to make this attestation on behalf of the person(s) or entity(ies) legally responsible for this submittal. I/the person(s) or entity(ies) on whose behalf this submittal is made is/are aware that there are significant penalties, including, but not limited to, possible fines and imprisonment, for willfully submitting false, inaccurate, or incomplete information.

By: _____ Title: _____
(signature)

For: _____ Date: _____
(print name of person or entity recorded in Section I)

Enter address of the person providing certification, if different from address recorded in Section I:

Street: _____

City/Town: _____ State: _____ ZIP Code: _____

Telephone: _____ Ext.: _____ FAX: (optional) _____

L. CERTIFICATION OF PERSON MAKING SUBMITTAL:

If you are completing only a Downgradient Property Status Submittal, you do not need to complete this section of the form.

I, _____, attest under the pains and penalties of perjury (i) that I have personally examined and am familiar with the information contained in this submittal, including any and all documents accompanying this transmittal form. (ii) that, based on my inquiry of those individuals immediately responsible for obtaining the information, the material information contained in this submittal is, to the best of my knowledge and belief, true, accurate and complete, and (iii) that I am fully authorized to make this attestation on behalf of the entity legally responsible for this submittal. I/the person or entity on whose behalf this submittal is made am/is aware that there are significant penalties, including, but not limited to, possible fines and imprisonment, for willfully submitting false, inaccurate, or incomplete information.

By: _____ Title: _____
(signature)

For: _____ Date: _____
(print name of person or entity recorded in Section I)

Enter address of the person providing certification, if different from address recorded in Section I:

Street: _____

City/Town: _____ State: _____ ZIP Code: _____

Telephone: _____ Ext.: _____ FAX: (optional) _____

YOU MUST COMPLETE ALL RELEVANT SECTIONS OF THIS FORM OR DEP MAY RETURN THE DOCUMENT AS INCOMPLETE. IF YOU SUBMIT AN INCOMPLETE FORM, YOU MAY BE PENALIZED FOR MISSING A REQUIRED DEADLINE, AND YOU MAY INCUR ADDITIONAL COMPLIANCE FEES.